

1 **Disentangling North Atlantic ocean-atmosphere coupling using circulation**
2 **analogues**

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6 ABSTRACT: The coupled nature of the ocean-atmosphere system frequently makes understanding
7 the chain of causality difficult in ocean-atmosphere interactions. This study presents a method to
8 remove the component of turbulent heat fluxes which is directly forced by atmospheric circulation,
9 diagnosing the residual as being primarily ‘ocean-forced’. This method is applied to the North
10 Atlantic in a 500-year pre-industrial control run using the Met Office’s HadGEM3-GC3.1-MM
11 model. The method identifies residual heat flux modes associated with variations in ocean circula-
12 tion and shows that these force equivalent barotropic circulation anomalies in the atmosphere. The
13 first of these modes is characterised by the ocean warming the atmosphere along the Gulf Stream
14 and North Atlantic Current and the second by a dipole of cooling in the western subtropical North
15 Atlantic and warming in the sub-polar North Atlantic. These results tentatively suggest that the Gulf
16 Stream may play a role in the circulation response to decadal ocean variability. More generally, this
17 method provides a useful way to separate-out causality in ocean-atmosphere interactions which
18 could easily be applied to other ocean basins. and to models or reanalysis datasets.

19 **1. Introduction**

20 The impact of extratropical sea surface temperature (SST) variability on atmospheric circulation
21 is both a complex theoretical problem and an eminently practical one. It is a practical problem in
22 that ocean variability is a major source of prediction skill for forecasts on sub-seasonal to decadal
23 timescales (Meehl et al. 2021; Merryfield et al. 2020).

24 North Atlantic Ocean variability is thought to be an important source of climate predictability on
25 decadal and longer timescales (Zhang et al. 2019). For example, Atlantic Multidecadal Variability
26 (AMV) has been linked to variability in the position of the Intertropical Convergence Zone (ITCZ)
27 and Sahel rainfall (Knight et al. 2006), multidecadal Atlantic hurricane activity (Sutton and Hodson
28 2007) and European climate (Sutton and Dong 2012; O'Reilly et al. 2017). On seasonal timescales,
29 North Atlantic SST anomalies also plays some role in forcing the North Atlantic Oscillation (NAO)
30 (Rodwell et al. 1999; Mehta et al. 2000; Czaja and Frankignoul 2002; Gastineau et al. 2013; Dong
31 et al. 2013; Baker et al. 2019).

32 On the theoretical side, identifying the role of the mid-latitude SSTs in atmospheric variabil-
33 ity presents a challenge because of the coupled nature of the ocean-atmosphere system and the
34 relatively weak influence of the ocean on the atmosphere (Kushnir et al. 2002). On interannual
35 and shorter timescales the atmosphere governs ocean-atmosphere covariability via modulation of
36 air temperature, specific humidity and near-surface wind speed, and these in turn modify surface
37 turbulent heat fluxes (Q). At decadal timescales and longer, the ocean dominates as it integrates
38 atmospheric variability and responds via changes to ocean circulation and ocean heat transport.

39 Idealised modelling studies have found that the initial response to a warm mid-latitude SST
40 anomaly consists of a linear baroclinic response, with a downstream surface low advecting cool
41 air equatorwards to balance the heating (Hoskins and Karoly 1981; Hendon and Hartmann 1982).
42 After around ten days, the response becomes dominated by an equivalent barotropic pattern,
43 involving transient eddy feedbacks, with the anomalous circulation extending far beyond the initial
44 perturbation (Deser et al. 2007). The equivalent barotropic response typically projects strongly
45 onto the dominant modes of internal variability such as the NAO.

46 The circulation response to mid-latitude SSTs is substantially model dependent and is sensitive
47 to the location of the heating relative to the mean jet. Modelled circulation responses to mid-
48 latitude SSTs are also weak in comparison to both tropical SST-induced anomalies and internal,

49 mid-latitude variability (Kushnir et al. 2002). However, models' responses to mid-latitude SST
50 variability are likely too weak (Eade et al. 2014; Scaife and Smith 2018; Smith et al. 2020), possibly
51 due to low horizontal resolution (Scaife et al. 2019) or weak eddy feedbacks (Hardiman et al. 2022).
52 Relatedly, models underestimate the magnitude of decadal to multidecadal extratropical variability
53 of circulation, particularly for the North Atlantic (Simpson et al. 2018; O'Reilly et al. 2021).

54 To identify SST-forcing of atmospheric circulation, studies use a variety of methods. These
55 include 1) low-pass filtering data to isolate timescales at which the ocean dominates, 2) using
56 lagged correlation analysis and 3) performing atmosphere-only experiments. However, as discussed
57 above, current models are deficient at capturing the response to mid-latitude SSTs. Moreover, the
58 shortness of the observed record means that low-pass filtering gives only a few degrees of freedom,
59 while lagged correlation analysis of a short time series can be hard to interpret.

60 This study presents a method to separate the ocean-forced component of Q from the circulation-
61 forced component. The method has been designed such that it does not require any low-pass filtering
62 and can be applied to both models and reanalysis data. In this study the method is only applied to
63 model data as this provides a more controlled setup in which there is a long data record and there
64 is no observational uncertainty associated with variables such as Q . Testing with reanalysis data
65 is reserved for a future paper. The method involves the use of circulation analogues to identify
66 the component of Q directly associated with circulation variability, diagnosing the ocean-forced
67 component as the residual. We apply this method to a 500-year pre-industrial control (piControl)
68 run with no external forcing, before applying it to simulations of the same model with observed
69 external forcings from 1850 to 2014.

70 The datasets and method are described in section 2 before the method is applied to a piControl
71 simulation in section 3. The leading modes of the decomposition are examined in section 4,
72 followed by an analysis of the circulation responses to the Q modes in section 5 and discussion
73 of the sensitivity to the presence of external forcing and length of the dataset in section 6. Finally,
74 some discussion and conclusions are provided in section 7.

75 **2. Data and methods**

76 *a. Data*

77 We analyse simulations using the UK Met Office HadGEM3-GC31 model (Williams et al. 2018)
78 for which North Atlantic ocean-atmosphere coupling has been extensively analysed (e.g. Lai et al.
79 2022; Khatri et al. 2022). The model consists of coupled ocean, atmosphere, land and sea-ice
80 models. In this study, we primarily utilize the run with medium (MM) resolution in the ocean
81 and atmosphere, but also briefly analyse the low (LL) resolution version. The ‘LL’ and ‘MM’
82 simulations are performed on N96 (grid-spacing of approximately 125km) and N216 (grid-spacing
83 of approximately 60km) grids in the atmosphere, respectively. The horizontal ocean resolution
84 is 0.25° (ORCA025) in ‘MM’ and 1° (ORCA1) in ‘LL’ but with a resolution of 0.33° from 15N
85 to 15S. Both ‘LL’ and ‘MM’ have 75 vertical levels in the ocean and 85 in the atmosphere. The
86 piControl runs using both versions of the model simulate AMV with a 60-80 year period, consistent
87 with observations, however the versions differ in terms of their ocean circulation variability and
88 atmospheric response to AMV (Lai et al. 2022). Both versions show a slightly weaker Atlantic
89 Meridional Overturning Circulation (AMOC) at 26.5N and at sub-polar latitudes with respect to
90 RAPID (Menary et al. 2018) and OSNAP observations (Menary et al. 2020), respectively.

91 *b. Circulation analogues*

92 In order to attribute Q anomalies to atmospheric or oceanic forcing, we apply a circulation
93 analogues method similar to that used by Deser et al. (2016) and O’Reilly et al. (2017). The
94 concept of comparing similar circulation states was first developed in the context of statistical
95 weather prediction by Lorenz (1969) and later van den Dool (1994) and van den Dool et al. (2003).
96 More recently, it has been used to study the degree to which atmospheric circulation trends have
97 played a role in observed temperature trends (Cattiaux et al. 2010; Wallace et al. 2012; Deser et al.
98 2016).

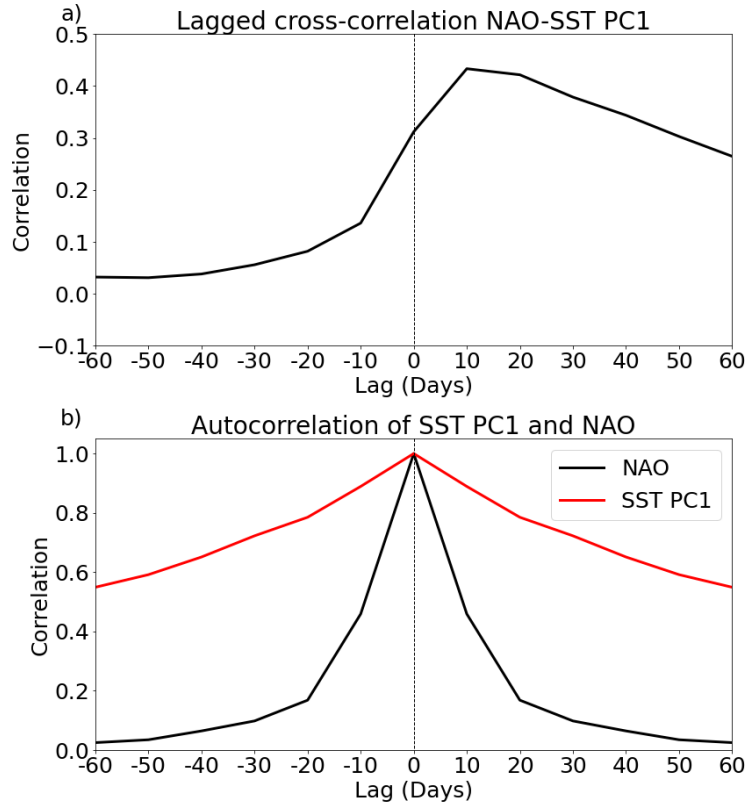
99 The circulation analogues method attempts to estimate the component of a temporally and
100 spatially varying variable, that is directly associated with changes in atmospheric circulation. In
101 our case, we decompose Q into two components,

$$Q = Q_{CIRC} + Q_{RESIDUAL}, \quad (1)$$

102 where Q_{CIRC} is the circulation-related component of Q and $Q_{RESIDUAL}$, is the residual. We
103 interpret the $Q_{RESIDUAL}$ to be predominantly ocean-forced. In principal, $Q_{RESIDUAL}$ will also
104 vary due to radiative warming of the atmosphere, for example through externally forced radiative
105 changes. There is no external forcing in the piControl run, but we remove the effects of external
106 forcing when applying the method to historical simulations by regressing out the global-mean SST.
107 This is discussed further in section 14. We also perform a linear detrending of piControl anomalies
108 prior to reconstructing SLP, in order to remove any drifts in the model.

109 Our method begins by taking the linearly detrended, monthly-mean sea level pressure (SLP)
110 anomalies for a particular month, say January 1901, and calculating the Euclidian distance between
111 this month and all other Januaries over the North Atlantic region. Note that each month is only
112 compared to its corresponding month (Januaries with Januaries etc), hence removing the seasonal
113 cycle. A sub-sample of size N_r from the N_s most similar Januaries is then taken and this sample of
114 anomaly maps is used to reconstruct the original (January 1901) anomaly field via a multiple linear
115 regression, over a particular region. This gives a set of N_r weights for each of the sub-sampled
116 months. Q_{CIRC} is then calculated by summing the Q anomalies for the same years multiplied
117 by the corresponding weights calculated for the SLP anomalies. The resampling procedure is
118 repeated N_a times to obtain N_a reconstructions of SLP and Q_{CIRC} , which are then averaged to
119 find a best estimate. In practice, N_r , N_s and N_a are taken to be 50, 80 and 100, respectively. This
120 entire process is then repeated for all years in the dataset and for all calendar months of interest.
121 For instance, if the December-January-February-March (DJFM) mean is required, the method is
122 applied independently for December, January, February and March, before taking the average of
123 these reconstructions.

129 Applying the process separately to individual months before taking the seasonal average is a
130 critical part of the procedure as this allows for the possibility of SSTs influencing atmospheric
131 circulation on sub-seasonal timescales. The point of the decomposition is to remove the direct
132 influence that atmospheric circulation has on Q ; for instance, through modulation of surface
133 wind speed or the air-sea temperature difference. This downward influence is strongest when the
134 circulation leads the Q by 10-20 days (figure 1a, Deser and Timlin 1997). The autocorrelation
135 timescale of the atmosphere is on the order of 2-4 weeks, in contrast to SST anomalies which persist
136 for many months (figure 1b). Consequently, SST anomalies created by stochastic atmospheric



124 FIG. 1. a) Lagged cross-correlation of the NAO, calculated as the first principal component (PC) SLP anomalies,
 125 20N-70N, 60W-0E, with the first principal component time series of SST, calculated over the same area for boreal
 126 winter (December-January-February-March). b) The autocorrelation of the NAO and SST PC time series'. The
 127 NAO is calculated using data from ERA5 (Hersbach et al. 2020) and the SST dataset is HadISST 2.1 (Titchner
 128 and Rayner 2014).

137 forcing in the early winter may then exert an influence on the atmosphere through to late winter.
 138 On the other hand, idealised experiments show that the atmospheric circulation response to mid-
 139 latitude SST anomalies takes several months to fully develop (Ferreira and Frankignoul 2005; Deser
 140 et al. 2007). Therefore, applying the method to monthly-mean data allows for circulation anomalies
 141 to develop in response to SST anomalies induced by atmospheric forcing in prior months.

142 The method is therefore not an attempt to completely separate the total influence of atmospheric
 143 circulation on Q , as circulation may first influence SSTs and subsequently Q . Rather, the dynamical
 144 decomposition is a diagnostic tool to measure the SST-driven component of Q and consequently,
 145 establish how patterns of SST variability affect atmospheric circulation.

146 *c. Linear decomposition of Q anomalies*

147 Q is composed of sensible (Q_S) and latent heating (Q_L) terms which can be represented using the
148 bulk formulae $Q_L = \rho C_p L U \Delta H$ and $Q_S = \rho C_p C_H U \Delta T$, respectively. Here, ρ is the air density, U
149 is the near-surface wind speed, C_p is the heat capacity of water, L is the latent heat of evaporation
150 and C_E and C_H are transfer coefficients. $\Delta H = H_s - H_a$ and $\Delta T = T_s - T_a$ are the air-sea temperature
151 and specific humidity differences, respectively. Here subscript s represents the sea surface and a ,
152 the atmosphere.

153 A linear decomposition of Q (e.g. Alexander and Scott 1997; Du and Xie 2008; He et al. 2022)
154 yields

$$\Delta Q' = \Delta Q'_S + \Delta Q'_L \approx (\overline{Q_S} + \overline{Q_L}) \frac{U'}{\overline{U}} + \overline{Q_S} \frac{\Delta T'}{\overline{\Delta T}} + \overline{Q_L} \frac{\Delta H'}{\overline{\Delta H}}, \quad (2)$$

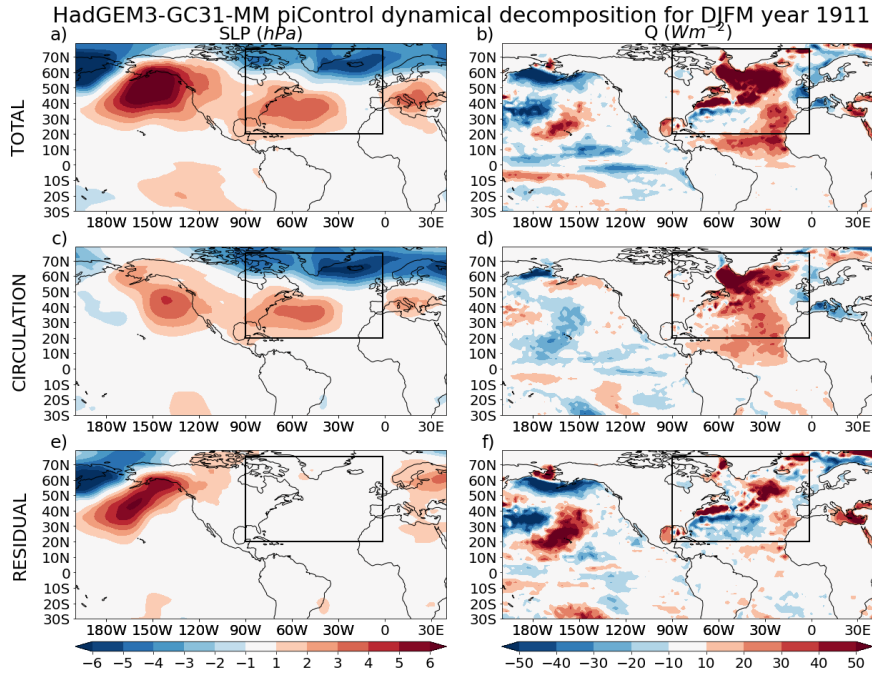
155 where overbars represent time-mean quantities and primes are the anomalies with respect to the
156 time-mean. This decomposition assumes that $U' \Delta H' \ll \overline{U \Delta H}$ and $U' \Delta T' \ll \overline{U \Delta T}$, which are both
157 good approximations at the monthly timescale (not shown).

158 *d. Indices*

159 The NAO index is calculated as the first empirical orthogonal function (EOF) of DJFM-mean
160 SLP over the region 20N-80N and 60W-0W, calculated using the python package ‘eofs’ (Dawson
161 2016). The AMOC index is defined, following Lai et al. (2022), as the Atlantic overturning stream
162 function (in depth space) at 45N and 1000m depth. The AMV is calculated as the basin-mean
163 North Atlantic SST (80W-0W, 0N-80N) after the global mean has been linearly removed from each
164 grid-point. The index is then low-pass filtered using a 15-year running mean, again following Lai
165 et al. (2022).

166 **3. Applying to a piControl simulation**

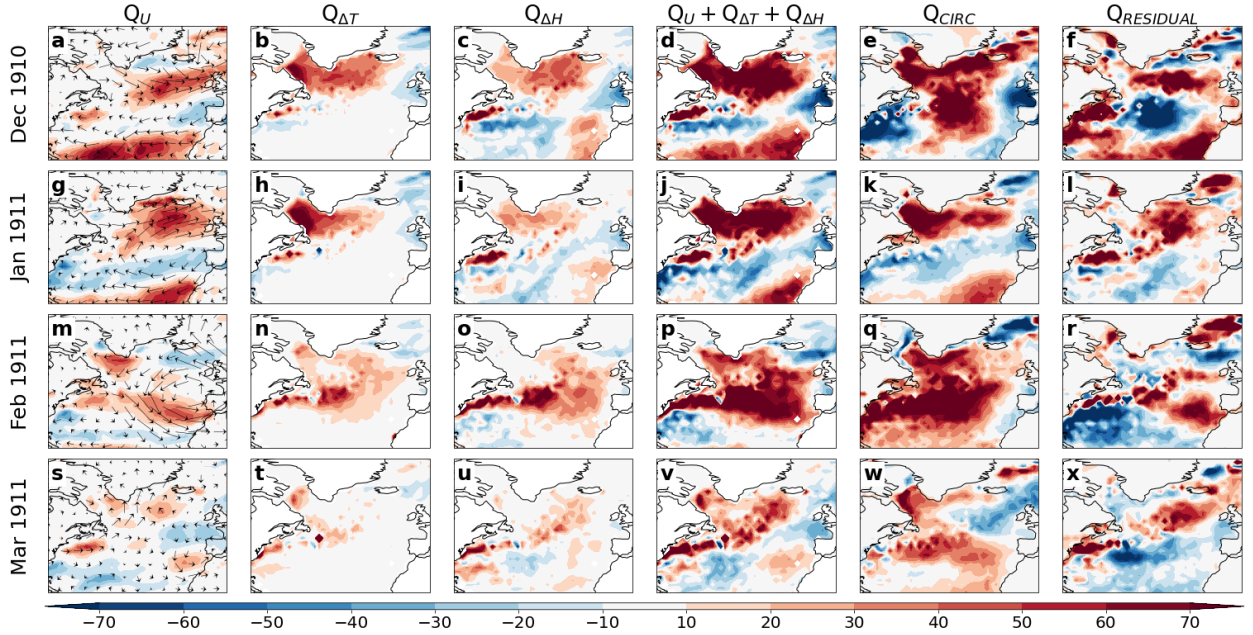
169 We now apply the circulation analogues method described in section 2 to the North Atlantic, over
170 a box bounded by latitudes 20N-75N and longitudes 90W-0E (shown by the boxed region in figure
171 2). An example of the decomposition is shown in figure 2 for the winter (DJFM) of model year 1911
172 in the HadGEM3-GC31-MM piControl simulation. The circulation-related SLP field, marked by a
173 positive-NAO like pattern, is by construction almost identical to the full field over the North Atlantic



167 FIG. 2. Dynamical decomposition of SLP and Q anomalies for the winter (DJFM) of the year 1911 in the
 168 HadGEM3-GC31-MM piControl run.

174 region (figure 2a,c), however this is not the case outside of the North Atlantic (figure 2e). The
 175 Q anomalies (defined as positive upwards) indicate anomalously high heat loss from the ocean to
 176 the atmosphere over a horseshoe-shaped region involving the sub-polar North Atlantic and eastern
 177 sub-tropical North Atlantic (figure 2b). The dynamical decomposition suggests that a substantial
 178 proportion of this is related to the circulation, including heat loss over the western sub-polar and
 179 subtropical North Atlantic (figure 2d). $Q_{RESIDUAL}$ anomalies are of similar magnitude to Q_{CIRC}
 180 anomalies and are characterised by ocean heat-loss over the eastern sub-polar and heat-gain over
 181 the western subtropics, with a northward shift of the Gulf Stream (figure 2f).

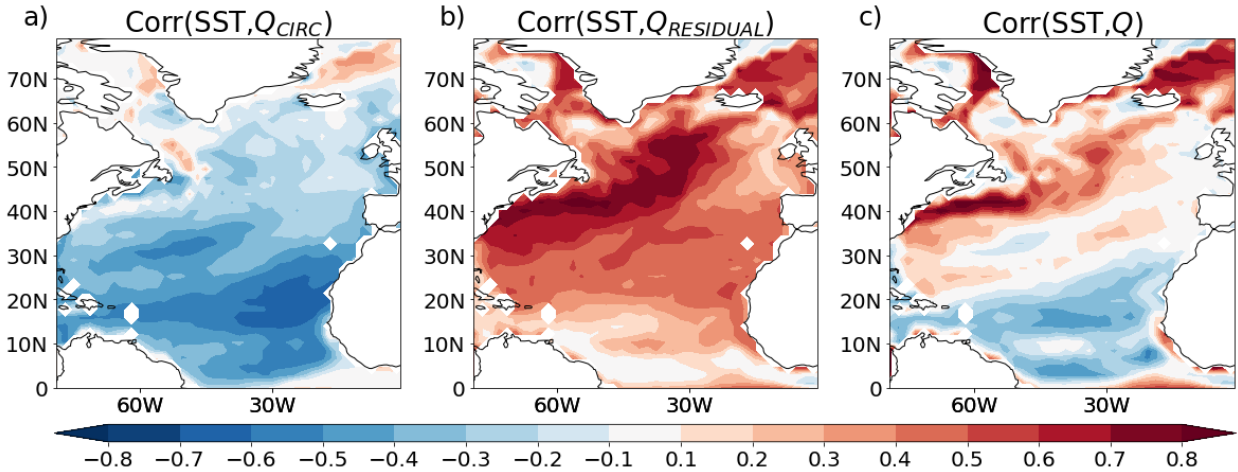
188 Much of the sub-polar heat-loss over the sub-polar North Atlantic in winter 1911 occurs in
 189 December and January (compare figure 3d,j with figure 3p,v). Strong near-surface wind speeds
 190 and air-sea temperature and specific humidity differences all contribute to the sub-polar heat-
 191 loss (figure 3a-c, g-i), which is largely driven by atmospheric circulation (figure 3e,k). In all
 192 four months, $Q_{RESIDUAL}$ shows positive anomalies over the Gulf Stream and eastern sub-polar
 193 North Atlantic (figure 3f,l,r,x) with negative anomalies in the subtropics from January to March
 194 (figure 3l,r,x). The relative persistence of $Q_{RESIDUAL}$ compared to Q_{CIRC} across the winter, is



182 FIG. 3. Linear and dynamical decompositions of Q anomalies for the winter of model year 1911 in the
 183 piControl run. The different rows show results of the decompositions for a-f) December 1910, g-l) January 1911,
 184 m-r) February 1911 and s-x) March 1911. Columns show Q anomalies associated with a,g,m,s) surface-wind
 185 forcing, b,h,n,t) air-sea temperature differences, c,i,o,u) air-sea specific humidity differences, d,j,p,v) the sum of
 186 the first three columns, e,k,q,w) Q_{CIRC} and f,l,r,x) $Q_{RESIDUAL}$. Vectors in a,g,m,s) show 10m wind anomalies.
 187 Q anomalies are in units of Wm^{-2} .

195 suggestive of the role of lower frequency SST variability in $Q_{RESIDUAL}$. Nevertheless, there are
 196 distinct $Q_{RESIDUAL}$ differences between each month, possibly due to atmospheric forcing from the
 197 previous month affecting SSTs.

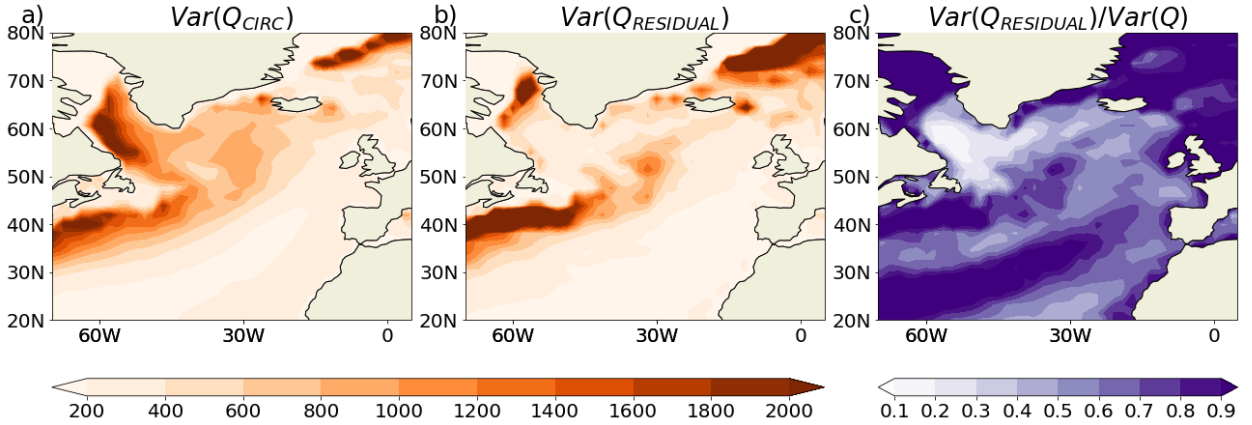
200 To test the dynamical decomposition method more systematically, we calculate the correlation,
 201 at each grid-point, between DJFM-mean SST anomalies and the components of the Q dynamical
 202 decomposition. Consider that if a warm SST anomaly is primarily the result of warming by the
 203 atmosphere, then the anomalous Q is negative, while a cool SST anomaly will be associated with
 204 a positive upward heat flux anomaly. Conversely, if the SST anomaly is warming or cooling the
 205 atmosphere, having formed through alterations to ocean circulation or by atmospheric forcing at
 206 least a month or two previous, then the sign of the SST anomaly should be the same as that of
 207 the anomalous heat flux. That is, a negative correlation between SST and Q anomalies indicates a
 208 downward influence, while a positive correlation indicates an upward influence (e.g. Gulev et al.



198 FIG. 4. Grid-point correlations between SST anomalies and a) Q_{CIRC} , b) $Q_{RESIDUAL}$ and c) Q in the
 199 HadGEM3-GC1-MM piControl run.

209 2013; O'Reilly et al. 2016; Bishop et al. 2017; Blackport et al. 2019; O'Reilly et al. 2023). As
 210 anticipated, the Q_{CIRC} component is negatively correlated with SST across the North Atlantic,
 211 suggesting a primarily downward influence (figure 4a). In contrast, SSTs are positively correlated
 212 with the $Q_{RESIDUAL}$ (figure 4b), suggesting a largely upward influence of Q anomalies. For
 213 reference, the full Q field shows that over the extratropical North Atlantic, SST variability tends
 214 to warm the atmosphere more so than the atmosphere warms the SSTs, whereas the influence is
 215 generally downward in the tropical Atlantic (figure 4c). Unsurprisingly, Q variability in the Gulf
 216 stream region stands out as being particularly dominated by the ocean (figure 4c, figure 5c).

220 The majority of modelled Q variability in the sub-polar North Atlantic and particularly the
 221 Labrador Sea, is associated with Q_{CIRC} (figure 5a,c). This may be due to circulation modulating
 222 the advection of cold air from the North American continent over the ocean, raising the air-sea
 223 temperature contrast. Nevertheless, the $Q_{RESIDUAL}$ shows a similar magnitude of variability to
 224 Q_{CIRC} along the North Atlantic Current (NAC) and larger variability associated with the Gulf
 225 Stream region. Therefore, while Q_{CIRC} variability is larger over the sub-polar region, neither
 226 component of the decomposition completely dominates the Q variability over the extratropical
 227 North Atlantic. The next section examines the primary modes of Q variability associated with the
 228 components of the Q decomposition and relates these to patterns of atmospheric circulation.

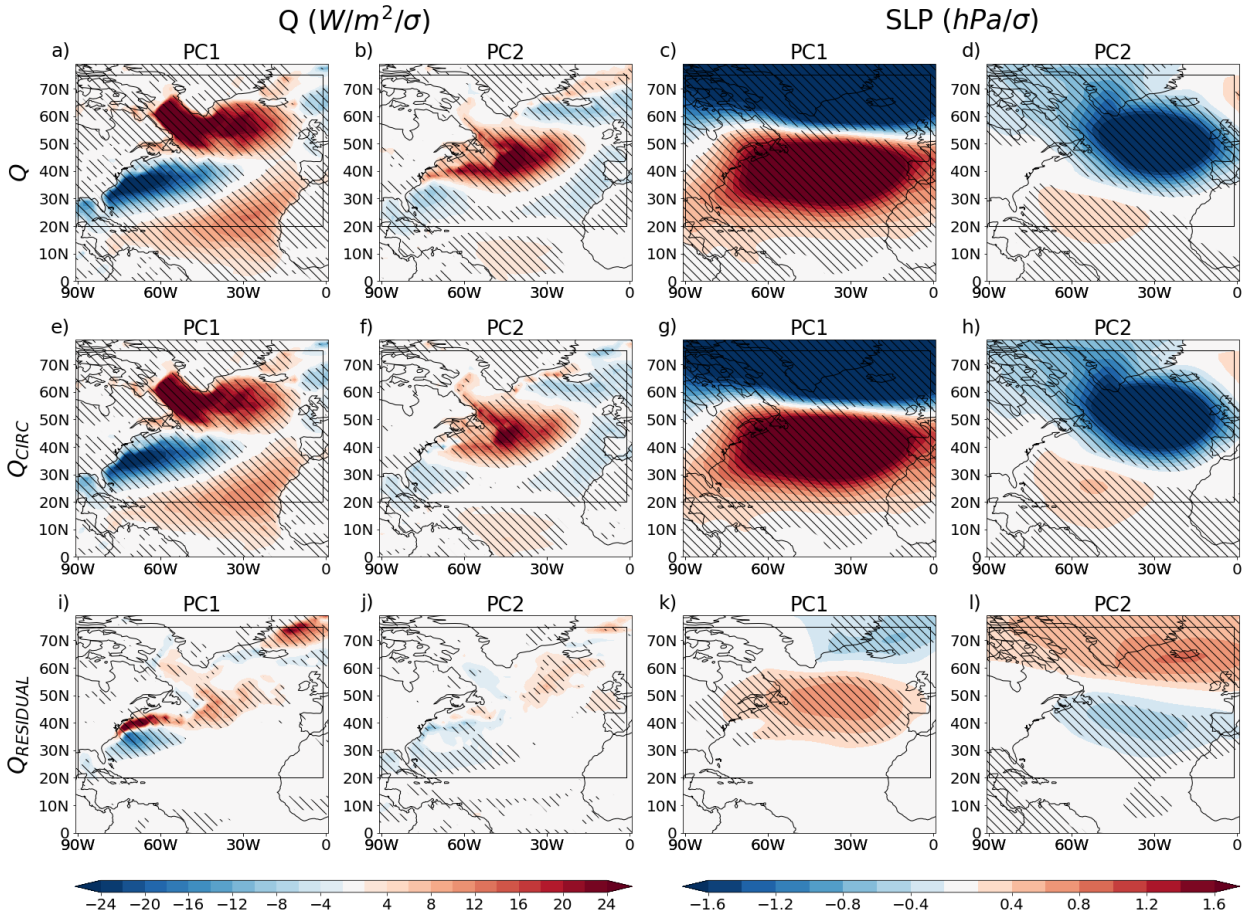


217 FIG. 5. Variance associated with interannual (DJFM) variability of components of the Q decomposition.
 218 Shown is the variance in a) Q_{CIRC} , b) $Q_{RESIDUAL}$ and c) the ratio of the variance of $Q_{RESIDUAL}$ to the
 219 variance in Q . Units for a,b) are both $(Wm^{-2})^2$ and c) is unitless.

229 4. Modes of Q variability

234 To understand the spatial patterns of variability associated with the Q decomposition, we perform
 235 an area-weighted EOF analysis separately for Q , Q_{CIRC} and $Q_{RESIDUAL}$, over the same region
 236 used to calculate the decomposition (20N-75N, 60W-0E). The EOFs 1 and 2 of both Q and Q_{CIRC}
 237 are characterised by a tripole pattern (figure 6a,e), associated with the positive phase of the NAO
 238 (figure 6c,g) and enhanced Q over the central North Atlantic (figure 6b,f), linked to the East Atlantic
 239 Pattern (figure 6d,h), respectively. The first two EOFs of Q_{CIRC} explain more variance (EOF1:
 240 34.5%, EOF2: 17.5%) than those of Q (EOF1: 25.0%, EOF2: 12.6%) because Q also includes
 241 variability which is unrelated to atmospheric circulation.

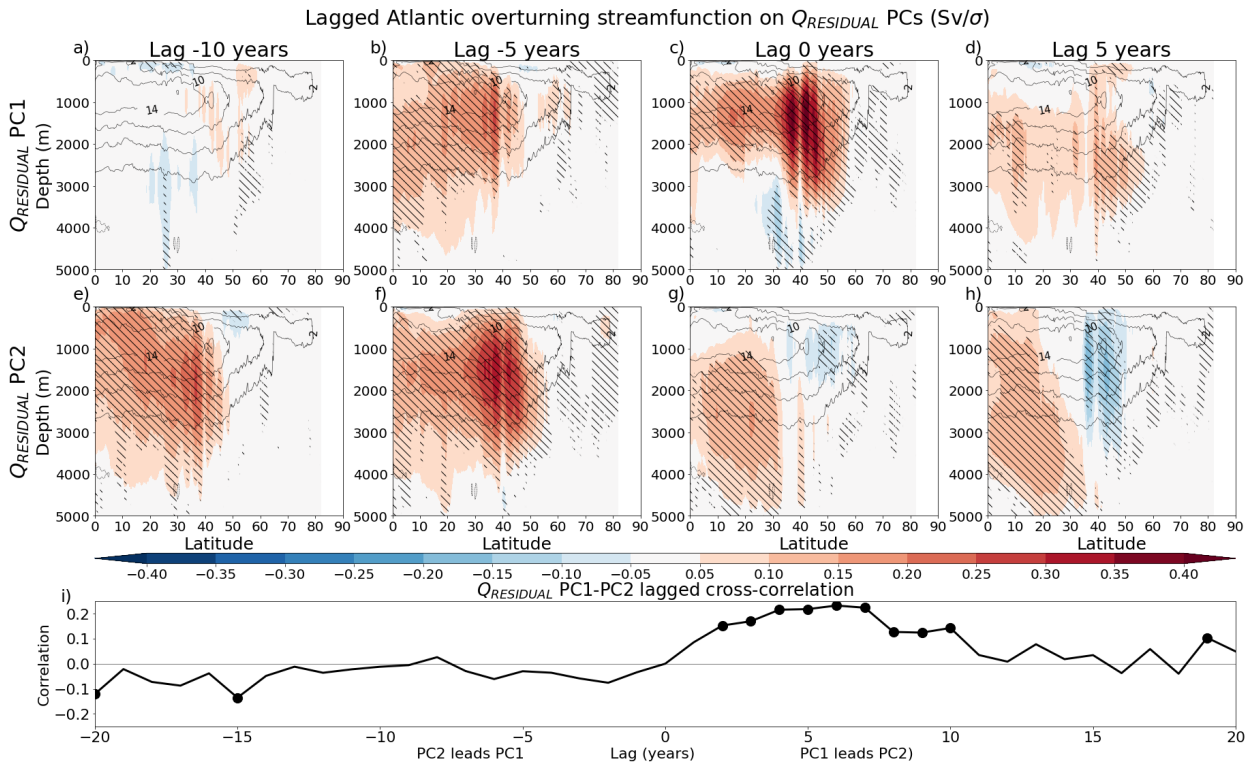
252 EOF1 and EOF2 of $Q_{RESIDUAL}$ are more spatially localised, instead marked by anomalous
 253 positive Q along the NAC (figure 6g) and positive Q anomalies to the south-east of Greenland,
 254 with a weak negative anomaly in the subtropics. $Q_{RESIDUAL}$ EOF1 is somewhat reminiscent of
 255 the ‘slow’ response at 3-4 year lags to NAO forcing found by Khatri et al. (2022) in using the same
 256 model but with decadal hindcast data (c.f. their figure 2). They found that the initial ‘fast’ response
 257 to the NAO caused by wind stress and Q anomalies is followed by a slower adjustment to SSTs
 258 involving a strengthened overturning circulation, as shown in figure 7c). This is corroborated by
 259 the fact that lagged correlations between the NAO and PC1 peak when the NAO leads by one year
 260 (figure 7a).



230 FIG. 6. Leading modes of variability associated with the components of the Q decomposition. The first and
 231 second EOFs of a-d) Q , e-h) Q_{CIRC} and i-l) $Q_{RESIDUAL}$ are shown regressed onto a-b,e-f,i-j) Q and c-d,g-h,k-l)
 232 SLP. Hatching indicates where regression coefficients are statistically significant at the 95% level following a
 233 t-test. The boxed region indicates the region over which both the decomposition and EOFs are calculated.

261 Lagged correlation / regression analysis shows that the AMOC begins to strengthen about five
 262 years prior to the maximum of $Q_{RESIDUAL}$ PC1 (figures 7b, 8b). It is likely that this AMOC
 263 variability represents the integration of NAO variability over multiple years (e.g. O'Reilly et al.
 264 2019). The signature of lower frequency, ocean variability is also seen in the power spectrum of
 265 $Q_{RESIDUAL}$ PC1, which has notable peaks for periods of 20 and 40 years, neither of which is seen
 266 for Q and Q_{CIRC} (figure 8c,d).

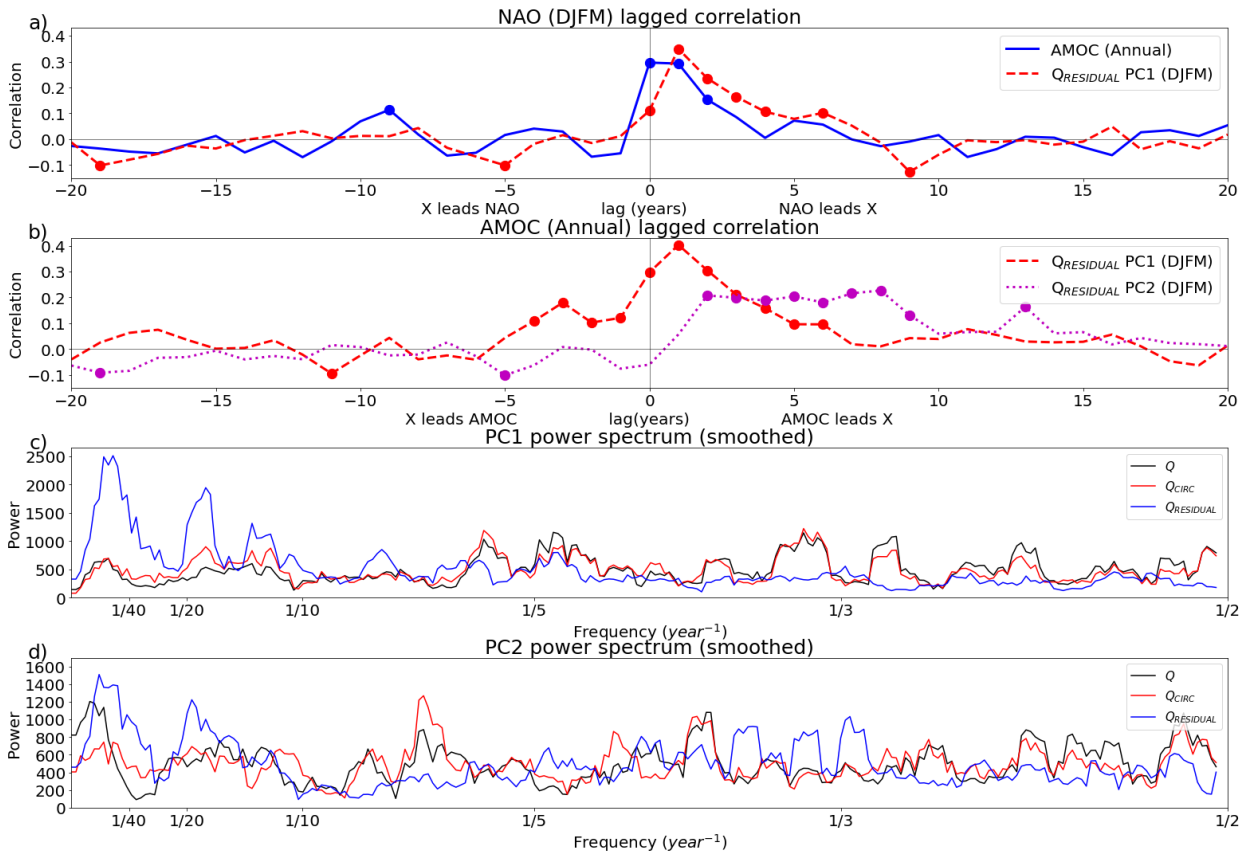
267 Interestingly, $Q_{RESIDUAL}$ PC2 shows a strengthened AMOC ten years before the PC2 peak
 268 (figures 7e), with the maximum $Q_{RESIDUAL}$ PC2-AMOC correlation occurring when the AMOC



242 FIG. 7. Lagged regression of $Q_{RESIDUAL}$ PC time series' associated with a-d) EOF1 and e-h) EOF2 with the
 243 Atlantic overturning stream function (colours) as a function of depth and latitude. The mean overturning stream
 244 function is shown by unfilled contours with contours drawn every 4Sv, beginning at 2Sv. Hatching indicates
 245 statistically significant where regression coefficients are statistically significant following a t-test. i) Shows results
 246 of a lagged correlation analysis between $Q_{RESIDUAL}$ PC1 and PC2 with PC1 leading at positive lags. Filled
 247 circles indicate statistically significant correlations following a t-test.

269 leads by 5-7 years (figure 8b). This is likely because $Q_{RESIDUAL}$ PC2 to some extent reflects
 270 a continuation of PC1, as the two are significantly correlated when PC1 leads by 3-10 years.
 271 Physically, warm SST anomalies associated with $Q_{RESIDUAL}$ PC1 propagate polewards towards
 272 the eastern sub-polar North Atlantic (figure 9) on timescales of 4-6 years. This timescale is roughly
 273 consistent with observations (Årthun and Eldevik 2016; Årthun et al. 2017) and analysis of an
 274 earlier version of HadGEM3 (Menary et al. 2015).

281 In summary, positive $Q_{RESIDUAL}$ PC1 events are preceded by positive NAO forcing in the
 282 previous years which cools the western sub-polar North Atlantic and warms the Gulf Stream region
 283 (as indicated by Q_{CIRC} in figure 9). These changes drive a stronger AMOC and warm SSTs along

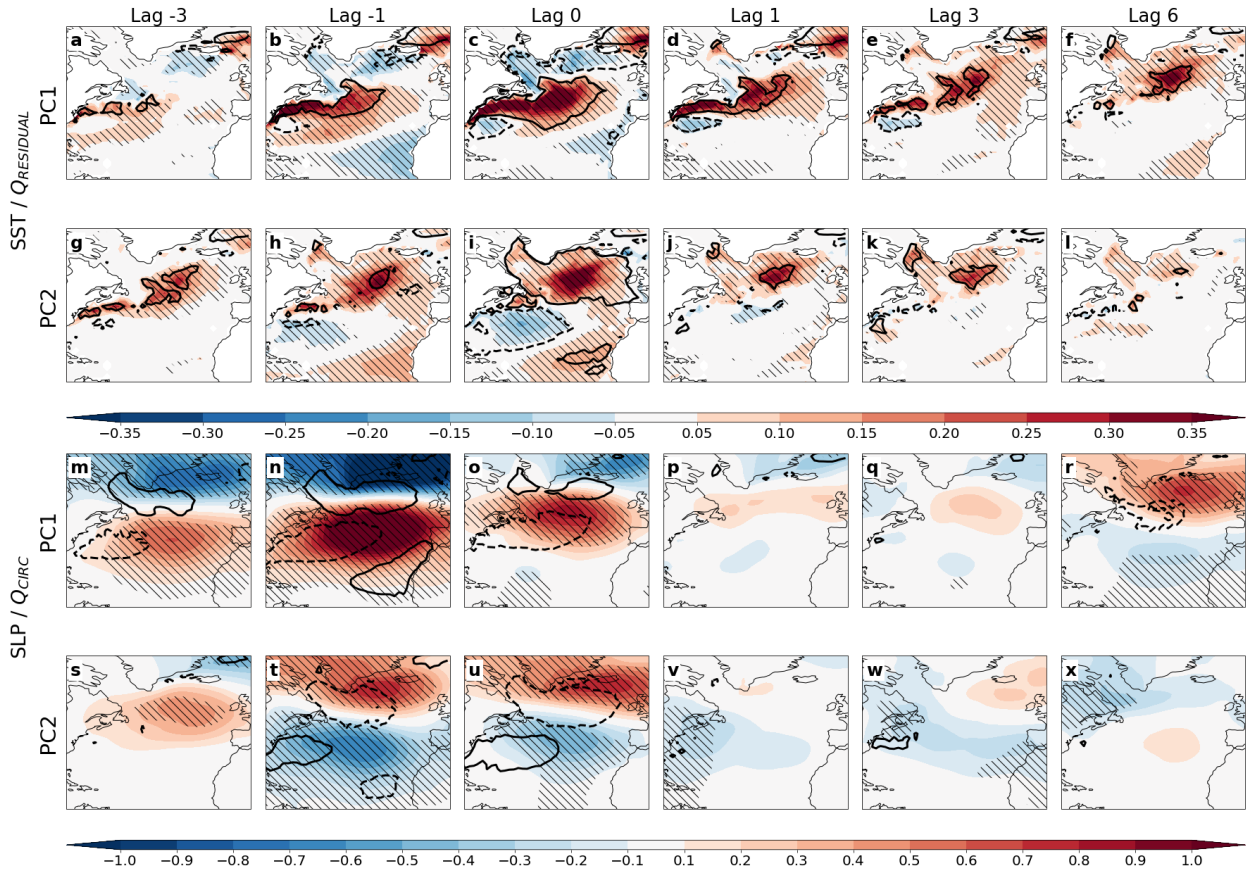


248 FIG. 8. Lagged correlations for a) the NAO index with the AMOC and $Q_{RESIDUAL}$ PC1, b) the AMOC
 249 with $Q_{RESIDUAL}$ PC1 and PC2. Filled circles indicate statistically significant correlations following a t-test.
 250 Power spectra are also shown for the c) first and d) second principal components of Q (black), Q_{CIRC} (red) and
 251 $Q_{RESIDUAL}$ (blue).

284 the NAC (figure 7b,9). These SST anomalies force an Atlantic ridge response, which is investigated
 285 in the next section. The SST anomalies subsequently propagate towards the eastern sub-polar North
 286 Atlantic. $Q_{RESIDUAL}$ PC2 events follow $Q_{RESIDUAL}$ PC1 events 3-10 years later, with the North
 287 Atlantic now marked by warm sub-polar and cool Gulf Stream regions. This subsequently forces
 288 a negative NAO, which is also investigated in the next section.

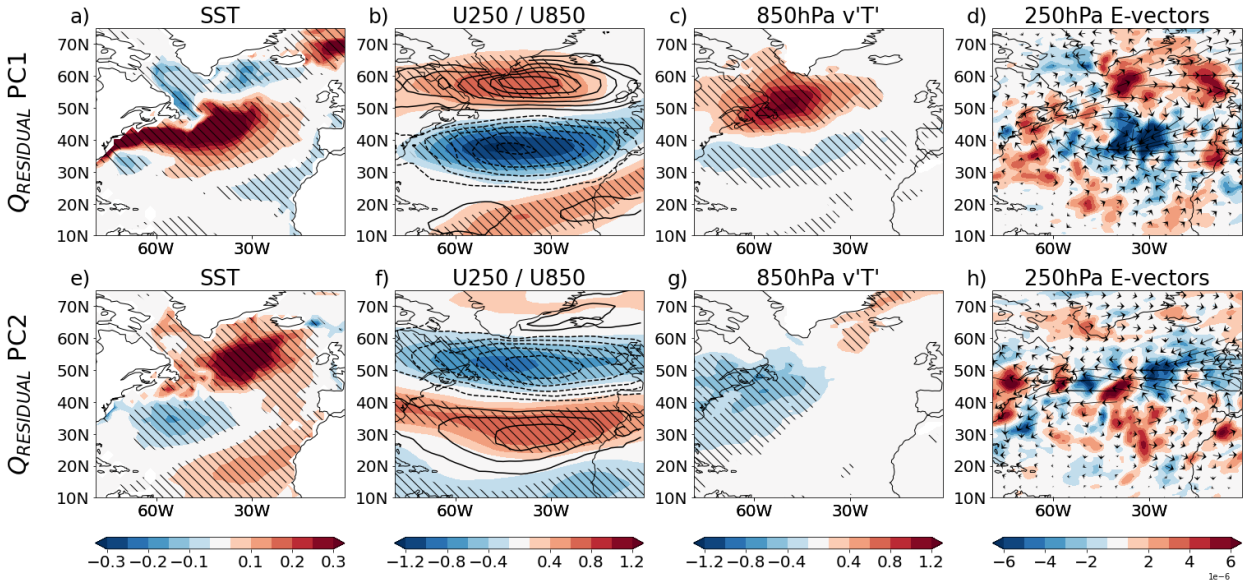
289 5. Circulation response to heat flux anomalies

296 Both $Q_{RESIDUAL}$ PC1 and PC2 are correlated with SLP anomalies at zero lag, suggesting that
 297 these are a response to the anomalous Q as the direct circulation-forced component of Q has been
 298 removed from $Q_{RESIDUAL}$ by construction. $Q_{RESIDUAL}$ PC1, which is associated with positive



275 FIG. 9. Lagged regression with $Q_{RESIDUAL}$ PC1/PC2 with SST and SLP in colours and $Q_{RESIDUAL}$ and
 276 Q_{CIRC} shown by unfilled contours. For $Q_{RESIDUAL}$ and Q_{CIRC} , contours are only drawn for $\pm 3Wm^{-2}$ for
 277 visual clarity. Hatching indicates where SST / SLP regression coefficients are statistically significant at the 95%
 278 level following a t-test. The lagged cross-correlation between $Q_{RESIDUAL}$ PC1 and PC2 is also shown, with
 279 filled scatter points indicating that the correlations are statistically significant at the 95% level, also following a
 280 t-test.

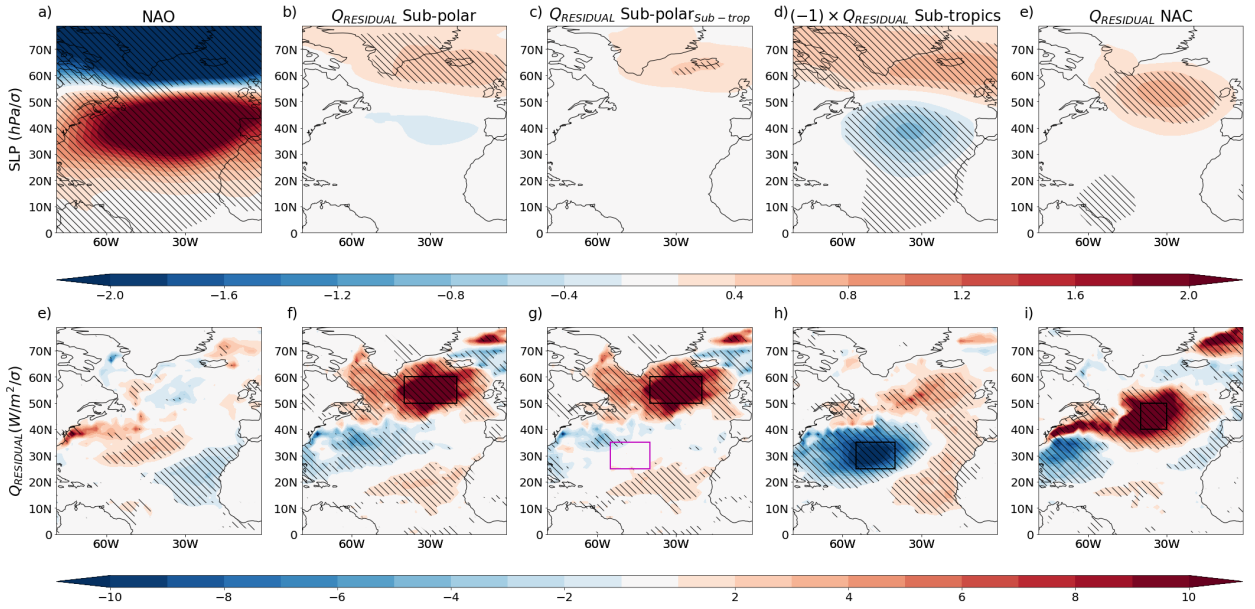
299 SSTs along the NAC (figure 10a), forces a ridge between 40N and 60N, with an opposite-signed
 300 anomaly east of Greenland (figure 6). This is also linked to an equivalent-barotropic northward
 301 shift of the jet and strengthening of storm track activity in the western North Atlantic, measured
 302 using transient heat transport, $v'T'$, as a proxy (figure 10b,c). The primes indicate high-pass
 303 filtering with a 10-day Lanczos filter (Duchon 1979). The increase in storm track activity is likely
 304 driven by the increased SST gradient along the northern flank of the Gulf Stream (figure 10a)
 305 and hence increased baroclinicity. The paradigm of Novak et al. (2015) suggests that periods of
 306 high transient heat transport are associated with a higher frequency of downstream wave-breaking



290 FIG. 10. Diagnostics showing the circulation response to $Q_{RESIDUAL}$ a-d) EOF1 and e-h) EOF2. Shown
 291 are regressions of the $Q_{RESIDUAL}$ PC1 and PC2 indices with a,e) SSTs, b,f) 250hPa (colours) and 850hPa
 292 (unfilled contours) winds, c,g) 850hPa 10-day high pass filtered meridional heat transport at 850hPa, d,h) E
 293 vectors (vectors). Colours in d,h) indicate the divergence associated with those vectors. Unfilled contours in
 294 b,f) are contoured every $0.1ms^{-1}/\sigma$. Hatching indicates statistically significant where regression coefficients are
 295 statistically significant following a t-test.

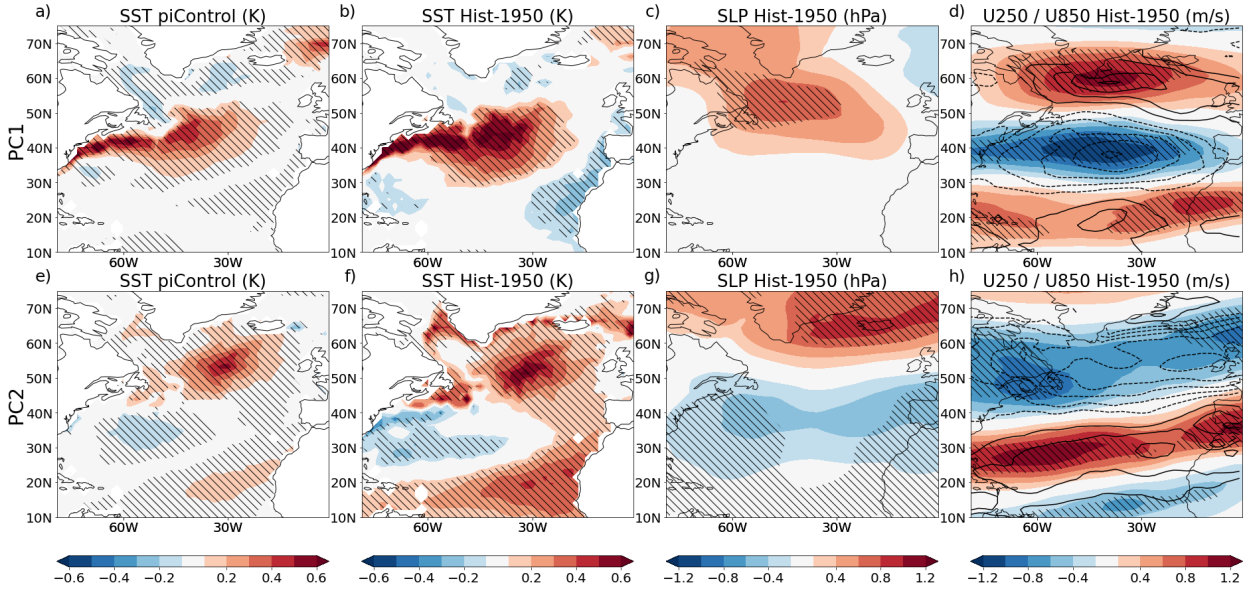
307 on the southward side of the jet. This decelerates the jet on the equatorward flank and transfers
 308 momentum poleward (as indicated by the divergence of E-vectors in figure 10d), deflecting the
 309 jet polewards. In contrast, $Q_{RESIDUAL}$ PC2 forces a negative NAO-like pattern (figure 6) and an
 310 equatorward shift of the eddy-driven jet. Understanding the response to PC2 is complicated by the
 311 presence of two centres of action in the $Q_{RESIDUAL}$ pattern - one over the sub-polar North Atlantic
 312 and another in the Gulf Stream region (figure 6j). The transient heat transport response for PC2 is
 313 slightly weaker than for PC1, but it is possible that the cooler Gulf Stream in PC2 acts to shift the
 314 jet southward via a similar mechanism to PC1 (but with signs reversed).

321 To further interrogate the relative importance of the different centres of action, we regress
 322 $Q_{RESIDUAL}$ and SLP onto the NAO and onto the mean $Q_{RESIDUAL}$ calculated over boxes in
 323 the eastern sub-polar (50N-60N,40W-20W), sub-tropical (25N-35N,55W-40W) and NAC (40N-
 324 50N,40W-30W) regions. The response to PC2 is NAO-like, hence it is surprising that the NAO-



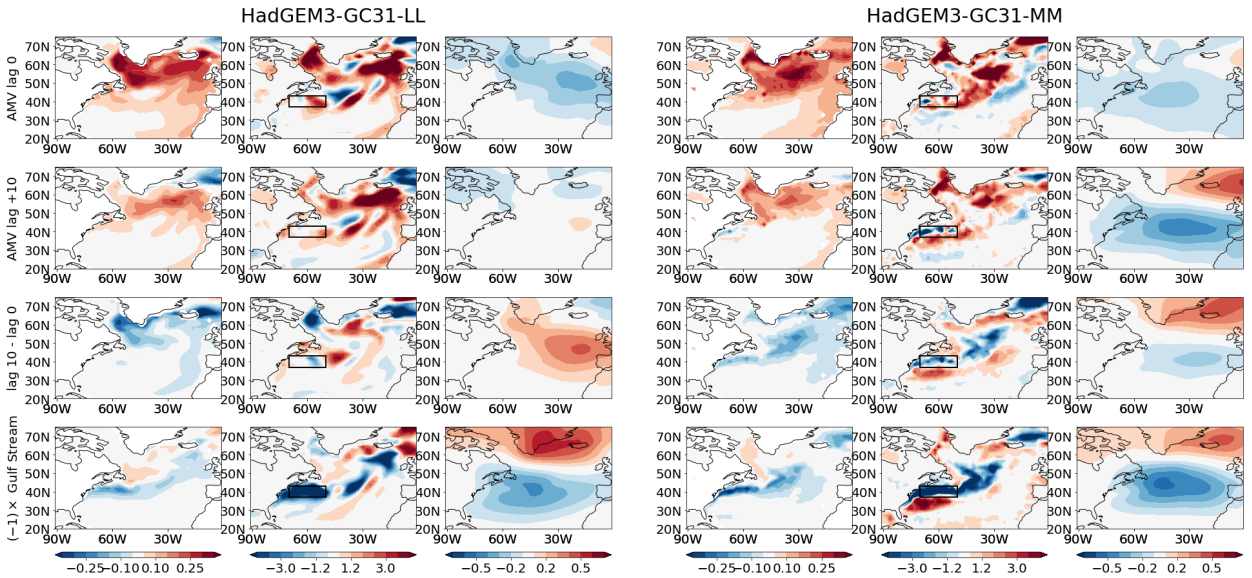
315 FIG. 11. Regression analysis of the connection between SLP and $Q_{RESIDUAL}$ variability in the piControl
 316 run. Regression of the NAO index onto a) SLP and e) $Q_{RESIDUAL}$. The other panels show regression of b-d)
 317 SLP and f-h) $Q_{RESIDUAL}$ onto the mean $Q_{RESIDUAL}$ in boxes over the b,f) sub-polar (50-60N,40W-20W) c,g)
 318 subtropical (25-35N,55W-40W) and d,g) NAC (40-50N, 40W-30W) regions. Each of these regions is indicated
 319 by boxes in the respective panels. Hatching in a-h) indicates where regression coefficients are statistically
 320 significant at the 95% level following a t-test.

325 $Q_{RESIDUAL}$ regression shows near zero regression coefficients over the eastern sub-polar North
 326 Atlantic (figure 11e), which features prominently in PC2 (figure 6j). Similarly, anomalously high
 327 $Q_{RESIDUAL}$ over the eastern sub-polar North Atlantic shows little connection with circulation
 328 variability (figure 11b,f). In contrast, negative sub-tropical $Q_{RESIDUAL}$ anomalies are linked to a
 329 negative NAO-like pattern (figure 11c,g), similar to $Q_{RESIDUAL}$ PC2 (figure 6l). This suggests that
 330 it is the sub-tropical / Gulf Stream region which forces the response to $Q_{RESIDUAL}$ PC2 with the
 331 sub-polar North Atlantic playing little role. This is consistent with Baker et al. (2019) who found
 332 the NAO to be sensitive to SST variability in the western subtropical North Atlantic (see their
 333 figure 1). For completeness, high $Q_{RESIDUAL}$ along the NAC, as in PC1, forces a similar pattern
 334 to PC1 (compare figure 6k with figure 11d,h), suggesting that the warm NAC is indeed forcing the
 335 ridge over the North-east Atlantic.



336 FIG. 12. Regressions of SSTs onto $Q_{RESIDUAL}$ EOFs 1 and 2 in the piControl run and regressions of SST,
 337 SLP and U850 onto an index of SST variability in Hist-1950 experiments. The index is the projection of the
 338 SSTs in the Hist-1950 onto SST patterns associated with $Q_{RESIDUAL}$ EOFs 1 and 2 in the piControl simulation.
 339 Hatching indicates where regression coefficients are statistically significant at the 95% level following a t-test.

340 Further evidence that the circulation patterns correlated with the $Q_{RESIDUAL}$ PCs are forced by
 341 the SSTs is provided by analysis of atmosphere-only simulations. The atmosphere-only simulations,
 342 entitled Hist-1950, are run using the same model, but forced with observed SSTs and historical
 343 greenhouse gas and aerosol forcings spanning 1950-2014. The SSTs do not react to changes
 344 in circulation patterns and hence the direction of causality is clear. There are three ensemble
 345 members of Hist-1950 and we take the ensemble average as the SSTs are the same in each case.
 346 We project the SST patterns associated with $Q_{RESIDUAL}$ PC1 and PC2 onto SSTs in the Hist-1950
 347 simulations, over the North Atlantic box used for the dynamical decomposition (20N-75N,60W-
 348 0W), and regress SSTs, SLP and zonal wind onto the resulting time series. The piControl and
 349 Hist-1950 SST patterns show a reasonable resemblance (figure 12a,b and figure 12e,f), though
 350 the correspondence is imperfect as the Hist-1950 run is forced by observed SSTs, which will have
 351 different modes of SST variability. Similar to the piControl simulations, the $Q_{RESIDUAL}$ PC1
 352 pattern is associated with a high SLP anomaly over the extratropical North Atlantic, albeit with its
 353 centre shifted slightly further west in Hist-1950. The $Q_{RESIDUAL}$ PC2 pattern also shows a similar



356 FIG. 13. Variables regressed onto AMV in the (left) LL and (right) MM versions of HadGEM3-GC3.1. Shown
 357 are regressions of 15-year running mean SST, $Q_{RESIDUAL}$ and SLP onto (top) AMV at lag 0, (middle) the AMV
 358 index but for the AMV leading by 10 years, (middle) the difference of these (AMV lag 10 minus lag 0) and
 359 (bottom) a Gulf Stream index (see text). The box used to define the Gulf Stream index is shown in panels with
 360 $Q_{RESIDUAL}$ regressions.

354 response in Hist-1950 to the piControl, in both cases being characterised by a negative NAO-like
 355 pattern.

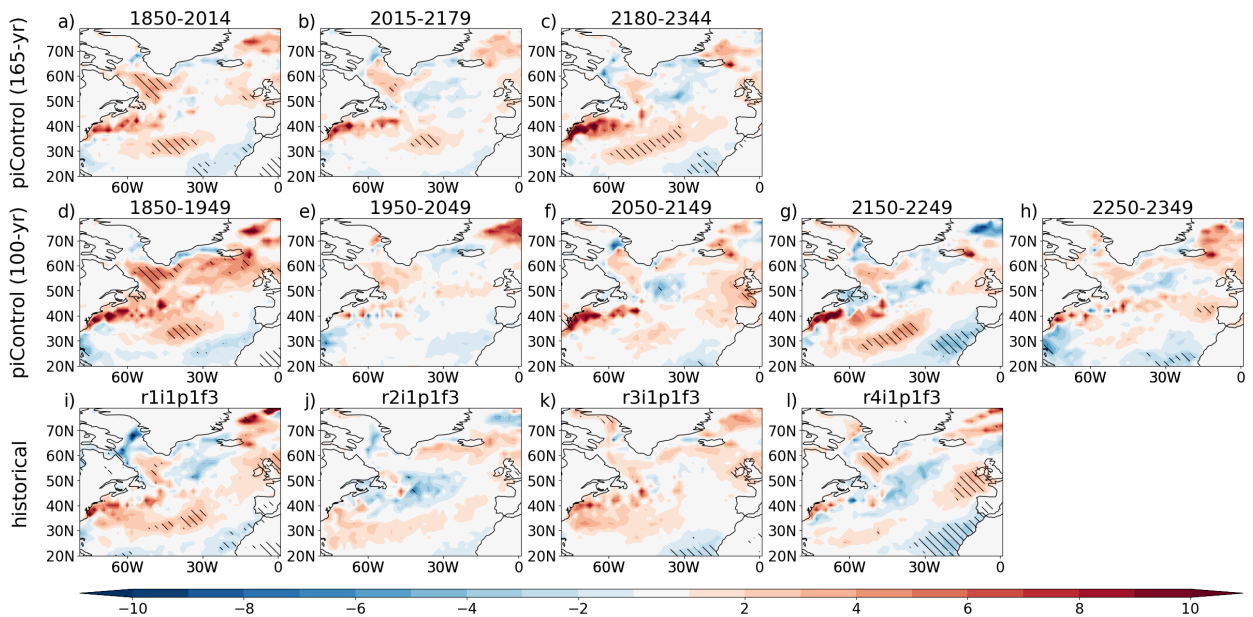
361 As a final application of the dynamical decomposition method, we analyse the response of
 362 HadGEM3-GC3.1 to AMV with differing horizontal resolutions. Lai et al. (2022) showed that the
 363 MM version of the model exhibits a negative NAO response following a positive AMV event, while
 364 the LL version shows little response (figure 13). For zero lag, both LL and MM versions have the
 365 characteristic horseshoe-like SST pattern of AMV and are associated with negative SLP anomalies
 366 in the North Atlantic. The negative SLP anomalies are may be associated with the circulation
 367 pattern driving the AMV in the first place (whether directly via surface heat fluxes or indirectly
 368 via changes to AMOC strength). Ten years after the AMV peak, the LL and MM SST patterns are
 369 relatively similar with one difference being in the Gulf Stream region, where MM shows a weak
 370 negative SST anomaly. Given their similarity, what is the cause of the difference in circulation
 371 responses to AMV?

372 Regressions with $Q_{RESIDUAL}$ reveal more of a difference between the LL and MM simulations
373 at lag 10, again particularly in the Gulf Stream region. The MM run shows a negative $Q_{RESIDUAL}$
374 anomaly at lag 10 in the boxed region, whereas the LL simulation shows no substantial anomaly.
375 The SST differences between lag 10 and lag 0 for the LL and MM runs again emphasise this
376 difference between the model versions, with a clear difference in the Gulf Stream SST for MM,
377 but none for LL. To provide further evidence that the Gulf Stream anomalies are the reason for the
378 difference in responses to AMV between the different versions, we compute a Gulf Stream index
379 as the mean $Q_{RESIDUAL}$ within the boxed region shown in figure figure 13 (70W-50W,37N-43N)
380 and regress the variables onto this index. For both LL and MM versions, this produces an SLP
381 pattern similar to the AMV lag 10 for MM, with a low pressure anomaly in the mid-latitudes and
382 high pressure north-west of the UK. This is also akin to the observed response to Gulf Stream SST
383 identified by Wills et al. (2016). This analysis suggests that rather than AMV primarily influencing
384 atmospheric circulation via the sub-polar North Atlantic, that it is the Gulf Stream region which
385 plays a leading role, at least in HadGEM3-GC3.1.

386 **6. Sensitivity to period length and external forcing**

387 This study has presented the results of applying the circulation analogues method to a piControl
388 run with a long time series and no externally forced variability. It would however be desirable
389 to apply this to historical simulations or reanalysis datasets which are shorter and are subject to
390 variations in greenhouse gases and aerosols. This next section therefore investigates the sensitivity
391 of the method to the length of the period and presence of external forcing. To test the sensitivity
392 to the length of the time period, we perform the dynamical decomposition using five 100-year
393 and three 165-year, non-overlapping subsets of the piControl run. We also apply the dynamical
394 decomposition to a four-member ensemble of runs with observed external forcings spanning 1850-
395 2014 (historical), which have been created using the same model. In each case, the same values
396 of N_r , N_a and N_s are used as for the original piControl run. For the historical simulations, the
397 influence of external forcing is removed by linearly regressing out the global-mean SST from all
398 variables before performing the dynamical decomposition.

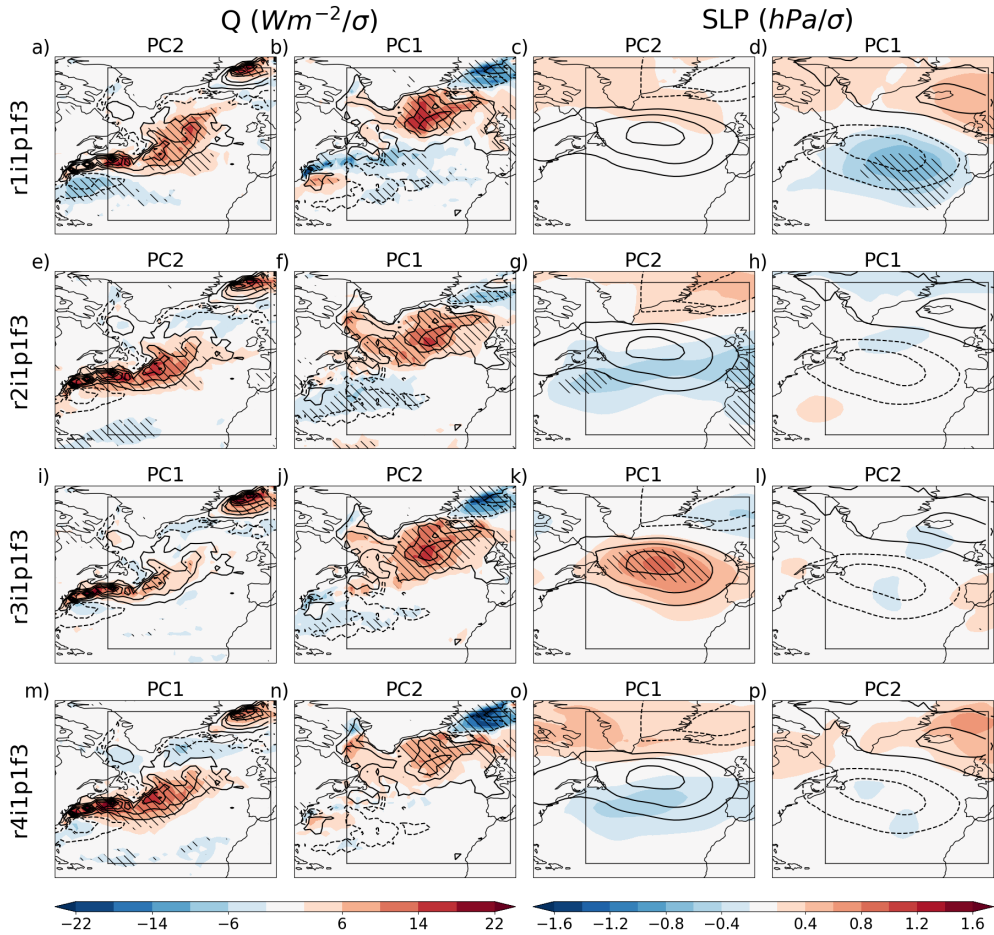
404 Figure 14 shows the sensitivity of the NAO to forcing by anomalous $Q_{RESIDUAL}$, as in figure
405 11e), but calculated using subsets of the piControl run and for historical simulations. The 165-



399 FIG. 14. Uncertainty associated with calculating SST forcing of the NAO using shorter periods of data. Results
 400 of regressing $Q_{RESIDUAL}$ onto the NAO are shown for a-c) the piControl run split into three 165-year periods,
 401 d-h) the piControl run split into five 100-year periods and i-l) four historical runs using the same model. Hatching
 402 indicates where regression coefficients are statistically significant at the 95% level following a t-test. Units are
 403 $W/m^2/\sigma$.

406 year subsets of the piControl are similar to one another and to the results from the full 500-year
 407 period. For instance, all show the positive phase of the NAO to be linked to anomalously high
 408 $Q_{RESIDUAL}$ over the Gulf Stream, a centre of action at 40W,30N and over the Labrador sea (figure
 409 14a-c). The 100-year subsets are also broadly similar to one another, but the strength of the
 410 different centres of action varies considerably between periods. The 1850-1949 and 2150-2249
 411 periods show a relatively strong forcing of the NAO from the region around 40W,30N, but this
 412 correspondence is much weaker and not significant in the other periods (figure 14d-h). Similarly, the
 413 historical simulations show roughly similar patterns overall, again with positive NAO- $Q_{RESIDUAL}$
 414 correlations around 40W,30N and over the Labrador sea, but with the strength of the connection
 415 varying considerably between runs.

419 Performing the EOF analysis of $Q_{RESIDUAL}$ as before, the historical simulations each show
 420 similar dominant patterns of $Q_{RESIDUAL}$ variability to the piControl, though for ensemble members
 421 r1i1p1f3 and r2i1p1f3 the order of the first two PCs is flipped. This suggests that external forcing



416 FIG. 15. Regressions of Q and SLP onto the first two PCs of $Q_{RESIDUAL}$ for the four historical ensemble
 417 members is shown by colours with the results from the piControl simulations shown by unfilled contours.
 418 Hatching indicates where regression coefficients are statistically significant at the 95% level following a t-test.

422 and the shorter length of the simulations does not prevent identification of the leading modes by
 423 which SST impacts the atmosphere. Further, this provides further evidence that the dynamical
 424 decomposition could usefully be applied to reanalysis datasets, which have the added complexity of
 425 external forcing and are considerably shorter than a typical piControl run. However, only r1i1p1f3
 426 PC1, r3i1p1f3 PC1 and r4i1p1f3 PC2 show similar SLP responses to the piControl and indeed
 427 r2i1p1f3 PC2 and r4i1p1f3 PC1 show opposite responses, suggesting that the SLP response to the
 428 $Q_{RESIDUAL}$ modes is either non-stationary or overwhelmed by internal variability for the shorter
 429 simulations.

430 7. Discussion and conclusions

431 This study has presented a method to remove the direct effects of atmospheric circulation on Q
432 and thus reveal a residual component of Q , $Q_{RESIDUAL}$, which is forced by SSTs. The method uses
433 a circulation analogues technique and has been tested using the HadGEM3-GC3.1-MM piControl
434 run for the wintertime North Atlantic. The leading modes of $Q_{RESIDUAL}$ show substantial low
435 frequency variability and the peak of the EOF1 is closely linked with a strengthening of the
436 AMOC. The modes are characterised by a warming of the atmosphere along the Gulf Stream and
437 North Atlantic Current for the EOF1; and a dipole of Q anomalies with cooling of the atmosphere
438 in the western subtropical North Atlantic and warming in the eastern sub-polar region for EOF2.
439 The first and second modes also drive equivalent barotropic atmospheric circulation responses in
440 the form of Atlantic ridge and negative NAO patterns, respectively.

441 Although beyond the scope of this paper, it would be of interest to apply this method to other
442 regions. For example, Indian Ocean SSTs are strongly affected by atmospheric variability, but also
443 can force atmospheric circulation anomalies. Hence, the dynamical decomposition could provide a
444 useful diagnostic for separating the atmospheric and ocean-driven Q patterns in this region. Other
445 regions which could be of interest include the tropical Atlantic and North Pacific.

446 It should be noted that the dynamical decomposition method is primarily a diagnostic tool, for
447 separating atmospheric and ocean-driven components of Q . That is to say, the atmosphere responds
448 to Q and not $Q_{RESIDUAL}$. O'Reilly et al. (2023) identified differences in the sign of Q anomalies
449 in free-running, coupled model experiments compared with idealised pacemaker experiments.
450 Specifically, restoring tropical Atlantic SSTs towards particular patterns often results in positive Q ,
451 though in coupled runs, warm SSTs in this location are usually linked negative Q . The dynamical
452 decomposition method could be of particular use in comparing Q in free-running models to that in
453 pacemaker experiments and thus establishing whether the SST-restoring primarily occurs through
454 atmospheric or oceanic adjustment.

455 This study has also shown that shorter historical simulations, forced by variable greenhouse gases
456 and aerosols, using the same model as for the piControl simulation, produce similar $Q_{RESIDUAL}$
457 patterns to the piControl. However, the atmospheric circulation response shows considerably
458 more uncertainty in the historical runs. Mid-latitude SST variability which appreciably affects
459 atmospheric circulation, primarily occurs on decadal to multidecadal timescales as the forcing

460 itself is weak and must therefore be persistent. Hence, even for a 100-year dataset, this may be
461 inadequately sampled. This would therefore suggest that caution should be exercised in studying the
462 circulation response in shorter model runs or reanalyses datasets. Nevertheless, there is significant
463 evidence that the real-world response to mid-latitude SSTs is underestimated in models (Eade
464 et al. 2014; Scaife and Smith 2018; Smith et al. 2020) and that models underestimate the true
465 multidecadal variability of North Atlantic circulation (Simpson et al. 2018; O'Reilly et al. 2021)
466 and hence 100-year long reanalysis datasets may still show an appreciable signal. We aim to
467 examine this in a future paper.

468 *Acknowledgments.*

469 *Data availability statement.*

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